



Regd. Office: OFFICE ADDRESS: 801-A, 8TH FLOOR, MAHALAYA COMPLEX,
OPP: HOTEL PRESIDENT, B/H. FAIRDEAL HOUSE,
SWASTIK CROSS ROADS, OFF: C.G.ROAD,
NAVRANGPURA, AHMEDABAD: 380 009. **Tel:**30025866
E-Mail: orient.tradelink@gmail.com, Website: www.orienttradelink.in

Date: 19.05.2023

To,
The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Towers Dalal Street
28th Floor, Dalal Street, Mumbai- 400001

Company Symbol: ORIENTTR
Script Code: 531512

Subject: Annual Secretarial Compliance Report under Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended 31st March, 2023

Dear Sir/Madam,

In compliance with Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015; read along with SEBI Circular CIR/CFD/ CMD1 /27/2079 dated, February 08, 2019, we are submitting herewith Annual Secretarial Compliance Report for the financial year ended 31st March, 2023 of the Company issued by Practicing Company Secretary.

You are requested to take the above on your records and do the needful.

Thanking you,

Yours faithfully,

For and on behalf of
Orient Tradelink Limited

Aushim Khetarpal
Managing Director/ CFO
DIN: 00060319

Encl: As above

ORIENT TRADELINK LIMITED

CIN: L65910GJ1994PLC022833
Corporate Office: 141 - A. Ground Floor,
Shahpur Jat Village, New Delhi-110049. Tel: 9999313918

**ANNUAL SECRETARIAL COMPLIANCE REPORT OF ORIENT TRADELINK LIMITED
FOR THE YEAR ENDED 31ST MARCH, 2023**

We Vikas Verma & Associates, Whole Time Practicing Company Secretaries have examined:

- (a) All the documents and records made available to us and explanation provided by **ORIENT TRADELINK LIMITED** (“the listed entity”),
- (b) The filings/submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) Any other document/filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2023 in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable for the period under review)**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable for the period under review)**

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Firm Registration No:- **P2012DE081400**, Udyog Aadhaar Number:- **DL03D0019626**

GST No.:- **07AAOFV2342L1ZR**, Peer Review Certificate No.**899/2020**

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- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable for the period under review)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable for the period under review)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 and circulars/guidelines issued thereunder;
- (j) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

And based on the above examination, we hereby report that, during the Period under Review:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:

Sr. No	Particulars	Compliance Status (Yes/No/NA)	Observations/ Remarks by PCS*
1.	Secretarial Standards: The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI).	YES	-
2.	<u>Adoption and timely updation of the Policies:</u> <ul style="list-style-type: none"> All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities. 	YES	-

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	<ul style="list-style-type: none"> All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/circulars/guidelines issued by SEBI. 		
3.	<u>Maintenance and disclosures on Website:</u> <ul style="list-style-type: none"> The Listed entity is maintaining a functional website. Timely dissemination of the documents/ information under a separate section on the website. Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which redirects to the relevant document(s)/ section of the website. 	YES	-
4.	<u>Disqualification of Director:</u> <ul style="list-style-type: none"> None of the Director of the Company are disqualified under Section 164 of Companies Act, 2013. 	YES	-
5.	<u>To examine details related to Subsidiaries of listed entities:</u> <ul style="list-style-type: none"> Identification of material subsidiary companies. Requirements with respect to disclosure of material as well as other subsidiaries. 	(Not Applicable for the period under review)	-
6.	<u>Preservation of Documents:</u> <ul style="list-style-type: none"> The listed entity is preserving and maintaining records as 	YES	-

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	prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.		
7.	<u>Performance Evaluation:</u> The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations.	YES	-
8.	<u>Related Party Transactions:</u> <ul style="list-style-type: none"> • The listed entity has obtained prior approval of Audit Committee for all Related party transactions. • In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit committee. 	YES	-
9.	<u>Disclosure of events or information:</u> <ul style="list-style-type: none"> • The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder. 	YES	-

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10.	<u>Prohibition of Insider Trading:</u> • The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015	YES	-
11.	<u>Actions taken by SEBI or Stock Exchange(s), if any:</u> • No Actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder.	Not Applicable	
12.	Additional Non-compliances, if any: No any additional non-compliance observed for all SEBI regulation/circular/guidance note etc.	Yes	Company has delayed the filing of Financial Results for the quarter ended September, 2022.

Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observation/Remarks by PCS*
1.	Compliances with the following conditions while appointing/re-appointing an auditor		
	i. If the auditor has resigned within 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter; or ii. If the auditor has resigned after 45 days from the end of a quarter of a	YES	Mr. Satish Kumar Gaddh has resigned on 13 th August, 2022 i.e within 45 days from the end of quarter i.e. 30 th June, 2022 & he has issued has issued the limited

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	<p>financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter as well as the next quarter; or</p> <p>iii. If the auditor has signed the limited review/ audit report for the first three quarters of a financial year, the auditor before such resignation, has issued the limited review/ audit report for the last quarter of such financial year as well as the audit report for such financial year.</p>		<p>review/ audit report for such quarter.</p>
2.	Other conditions relating to resignation of statutory auditor		
	<p>i. Reporting of concerns by Auditor with respect to the listed entity/its material subsidiary to the Audit Committee:</p> <p>a) In case of any concern with the management of the listed entity/material subsidiary such as non-availability of information / non-cooperation by the management which has hampered the audit process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall receive such concern directly and immediately without specifically waiting for the quarterly Audit Committee meetings.</p> <p>b) In case the auditor proposes to resign, all concerns with respect to the proposed resignation, along with relevant documents has been brought to the notice of the Audit Committee. In cases where the proposed resignation is due to non-receipt of information / explanation from the company, the auditor has informed the Audit Committee the details of information / explanation</p>	<p>Not Applicable</p>	

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	<p>sought and not provided by the management, as applicable.</p> <p>c) The Audit Committee / Board of Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above and communicate its views to the management and the auditor.</p> <p>ii. Disclaimer in case of non-receipt of information: The auditor has provided an appropriate disclaimer in its audit report, which is in accordance with the Standards of Auditing as specified by ICAI / NFRA, in case where the listed entity/ its material subsidiary has not provided information as required by the auditor.</p>		
3.	The listed entity / its material subsidiary has obtained information from the Auditor upon resignation, in the format as specified in Annexure- A in SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019.	Not Applicable	-

TABLE-I

a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sr. No.	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Regulation / Circular No.	Deviations	Action Taken by	Type of Action (Advisory/ Clarification/ Fine/ Show Cause Notice/ Warning, etc.)	Details of Violation	Fine Amount	Observation s/ Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	NIL	-	-	-	-	-	-	-	-	-

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b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action (Advisory/ Clarification/ Fine/ Show Cause Notice/ Warning, etc.)	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	Reconciliation of Share Capital Audit Report.	Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.	Delay in filing Reconciliation of Share Capital Audit Report for the quarter ended December, 2021	-	-	-	-	Submitted on 05th April, 2022	Due to delay in receipt of Data from RTA.	-
2.	Financial Results	Regulation 30 & 33 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015	Current Statutory Auditor is non-peer review. Approval from shareholders is pending for appointment of Statutory Auditor.	-	-	-	11,800	<ul style="list-style-type: none"> During the financial year 2021-2022 company has appointed the non-review statutory auditor by the audit committee and board of company due to casual vacancy arise. However due to unavoidable circumstance company was not able to take the approval from shareholders within prescribed timelines for appointment of Auditor. 	As informed due to unavoidable circumstance company was not able to take the approval from shareholders within prescribed timelines for appointment of Peer reviewed Statutory Auditor.	-

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3.	Compliance Officer and his [/her] Obligations	Reg. 6(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	-	BSE Limited	Fine	Non-compliance with requirement to appoint a qualified company secretary as the compliance officer for quarter ended 31 st December, 2021	92,000	Company has filed clarification letter with the BSE in which clarify that, during the aforesaid period company secretary as compliance officer dated 20th July, 2020. already appointed in the company as per Regulation 6(1) - of LODR 2015. However, in this regard company has not received any reply from BSE till date.	Clarification given & complied with the Reg 6(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
4.	Share Transfer Agent	Reg. 7(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for quarter ended 31st December-2021	-	BSE Limited	Fine	Non-compliance with requirement to appoint share transfer agent	92000	Company has filed clarification letter with the BSE in which clarify that, during the aforesaid period, as per Regulation 7(1) - of LODR, company has appointed share transfer agent (RTA) namely Skyline Financial Services Pvt. Ltd. However, in this regard company has not received any mail from BSE till date.	Clarification given & complied with the Reg 7(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
5.	Board of Directors	Regulation 17(1) of SEBI (Listing Obligations and Disclosure Requirements)	-	BSE Limited	Fine	Non-compliance of Composition of Board	2,45,000	During the quarter ended October to December 2021, women director is not appointed in the Company as applicable.	Clarification given & complied with the Reg 17(1) of SEBI (Listing Obligations and

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**Vikas Verma & Associates,
Company Secretaries**



		Regulations, 2015 for quarter ended 31st December- 2021						Company has filed application for waiver off of fine with BSE for non-appointment of women director.	Disclosure Requirement s) Regulations, 2015.	
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**For & on behalf of
Vikas Verma & Associates
Company secretaries
FRN: P2012DE081400**

**Date: 19.05.2023
Place: New Delhi
UDIN: F009192E000338061**

**Vikas Kumar Verma
Managing Partner
M. No.: F9192
COP No.: 10786**

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